



RECEIVED

PENNIE & EDMONDS LLP DOCKET NO. 9632-012
APR 14 2001

TECH. CENTER 1600/2900 Express Mail No.: EL 501 636 208 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner Karen A. Canella, Ph.D.

Art Unit 1642

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

This is a request for filing a ☐ continuation ☒ divisional application under 37 CFR § 1.53(b), of pending prior application no. 09/328,296 filed on June 8, 1999.

of Clay B. Siegall, Alan F. Wahl, Joseph A. Francisco and Henry Perry Fell, Jr.
(inventor(s) currently of record in prior application)

for METHODS FOR THE TREATMENT AND PREVENTION OF CANCER USING ANTI-CD40 ANTIBODIES
(As Amended) (title of invention)

1. ☒ The filing fee is calculated below:

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	7	-20	0	\$18.00 each	\$ 0.00
Independent	3	-3	0	\$80.00 each	\$ 0.00
Basic Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$270.00)					\$ 270.00
Total					\$ 710.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$ 0.00
Total Filing Fee					\$ 980.00

2. ☒ Cancel claims 1-25, 28-31 and 34-36 without prejudice and enter the Preliminary Amendment enclosed herewith before calculating the filing fee.
3. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
4. ☒ Amend the specification by inserting under the title on page 1, line 3, the following sentence: ~~This is a division of application no. 09/328,296,~~ filed June 8, 1999, which is incorporated by reference herein in its entirety.

- 5a. ☐ Transfer the drawings from the prior application to this application and abandon the prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
- 5b. ☐ New formal drawings are enclosed.
- 5c. ☒ Informal drawings are enclosed.
- 6a. ☐ Priority of application no. filed on in is claimed under 35 U.S.C. §119.
- 6b. ☐ The certified copy has been filed in prior application no. , filed .
7. ☒ The prior application is assigned of record to Seattle Genetics, Inc., recorded as of June 8, 1999 on Reel 010035, Frame 860.
- 8a. ☒ The Power of Attorney appears in the original papers in the prior application no. 09/328,296, filed June 8, 1999; a copy of the Power of Attorney is enclosed.
- 8b. ☐ Since the Power of Attorney does not appear in the original papers, a copy of the Power in prior application no. , filed is enclosed.
9. ☒ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. 09/328,296, filed on June 8, 1999 be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. 09/328,296 is enclosed.
10. ☒ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. 09/328,296 is the same as the content of the computer readable form submitted in application no. 09/328,296.
11. ☒ Additional enclosures or instructions. Preliminary Amendment; Information Disclosure Statement; List of References Cited; and copies of references AJ, AQ, AS, AW and BZ.

Respectfully submitted,

Date November 28, 2000

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, N.Y. 10036-2711
(212) 790-9090